

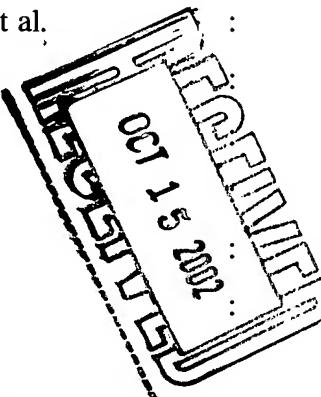


2152
#2
BT
10-16-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Timothy R. Block et al.
Group Art Unit: 2152
Examiner: Unassigned
Serial No.: 10/020,382
Filed: December 14, 2001
Title: DATA TRACING IDENTIFIERS

Date: October 3, 2002
IBM Corporation
Intellectual Property Law
Dept. 917, Bldg. 006-1
3605 Highway 52 North
Rochester, MN 55901



INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

RECEIVED

Assistant Commissioner for Patents
Washington, D.C. 20231

OCT 11 2002

Sir:

Technology Center 2100

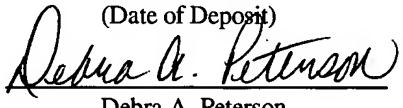
Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. 10/020,382
Docket No. ROC920010307US1

To the best knowledge of the undersigned, this Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office action on the merits in the present application. Accordingly no filing fee is required.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

October 3, 2002
(Date of Deposit)

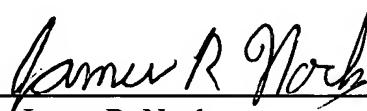

Debra A. Peterson

Debra A. Peterson

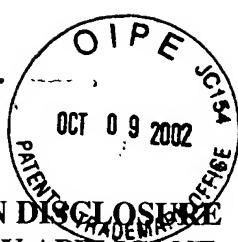
Respectfully submitted,

TIMOTHY R. BLOCK ET AL.

By


James R. Nock

Attorney Reg. No. 42,937
IBM Corporation - Department 917
3605 Highway 52 North
Rochester, Minnesota 55901-7829
Telephone: 1-507-253-4661



**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1

Application Number.: 10/020,382
Filing Date: December 14, 2001
First Named Inventor: Block et al.
Art Unit: 2152
Examiner Name: Unassigned
Attorney Docket Number.: ROC920010307US1

U.S. PATENT DOCUMENTS

RECEIVED

OCT 11 2002

Technology Center 2100

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if

patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.